

Cummings Global Centre for Pandemic Therapeutics Foundation Grants Round 2

Supplementary Funding Guidelines 2023

Introduction

The Cummings Global Centre for Pandemic Therapeutics (CGCPT) will develop new platform technologies that will enable the rapid development of therapeutics for pathogens of pandemic potential. The Centre will provide long term research funding through research missions, enabling technologies such as high containment, animal models and computational science, a fellowship program and a small grants program.

The Foundation Grants will provide start-up funding for the first three years of the Centre operation, and be a one-off program supporting internal and external investigators through two separate grant rounds.

This second round is open to investigators from external organisations and institutions globally. Grants will be externally reviewed and awarded on a competitive basis and strategic alignment with the centre's overall mission.

The University of Melbourne (UoM) has obligations to CGCPT funders and internal departmental partners. The conditions of award of the Foundation Grant must align with those obligations in key areas, which are described below in more detail.

Grant Documentation

Foundation Grant recipients will be asked to accept and acknowledge the conditions of award at grant acceptance stage.

All grants will be administered through UoM via a Collaborative Research Agreement which aligns with the conditions set out below.

For ease of reading, the conditions below are written in plain language. More detailed language may be used in the formal grant documentation at the time of award.

Conditions of Award

Project

Foundation Grant recipients must exercise due care, skill and judgment in performing the funded project, and perform the project in a timely manner in accordance with all Laws including all applicable Commonwealth and Victorian equal opportunity, anti-discrimination, affirmative action and privacy legislation, the Australian Code for the Responsible Conduct of Research and the National Statement on Ethical Conduct in Human Research, and the laws of the country within which the research will take place.

Foundation Grant recipients must advise the UoM of any material changes, delays or challenges to meeting any project plans and milestones as set out in the Foundation Grant application (or subsequently agreed with the UoM) for the funded project.

Funding

Any interest earned on the Foundation Grant funds must be applied solely for the purposes of the funded project.

Any unexpended Foundation Grant funds at the completion of the funded project must be returned to the CGCPT.

Foundation Grant recipients must disclose whether the project sought to be funded has existing funding attached to it, and if so, describe how the CGCPT funding is supplementary or complementary to the existing funding. CGCPT funding must not be applied to activities that are already entirely supported by existing funding. This is to ensure transparency and fairness in the grant award process, and to ensure the efficient allocation of CGCPT resources.

Publicity and Publications

Foundation Grant recipients must cooperate with UoM in relation to all publicity associated with the Foundation Grant and funded project, including appropriate acknowledgement and recognition of the CGCPT and its funding as appropriate. At a minimum, acknowledgements in all presentations and publications must include the following wording 'We acknowledge the generous support of the Cummings Global Centre for Pandemic Therapeutics at the Doherty Institute'.

IP ownership and commercialisation

Ownership

For Foundation Grant recipients who are external to UoM, Project IP will be owned by the external organisation.

If UoM is actively involved in a funded project as a collaborator (rather than simply as grantor of the Foundation Grant), UoM may be entitled to own a share of the Project IP to the extent and nature of that involvement. If other third party collaborators are involved in the funded project, then UoM may agree to shared ownership of Project IP, or other

appropriate arrangements, at its discretion in accordance with the requirements and policies of those collaborating third party institutions.

Commercialisation

Foundation Grant recipients agree to use commercially reasonable efforts to develop and commercialise any IP resulting from funded projects, and will be committed to bringing any resulting products or technology to market [in accordance with an agreed commercialisation plan].

Foundation grant recipients will not unreasonably delay or abandon the development, production, marketing, or sale of the product or technology.

Other

The CGCPT reserves the right to a non-exclusive humanitarian licence for any IP, product or technology developed by Foundation Grant recipients to ensure it is made available to people most in need, and broadly disseminated in an affordable and accessible way. This licence does not restrict Foundation Grant recipient's use or licensing of any resulting IP, however if ownership is transferred or an exclusive licence is granted, it must be done so in a manner that does not limit the scope of this humanitarian licence.

If UoM is actively involved in a funded project as a collaborator, Foundation Grant recipients must disclose to UoM Project IP that could be reasonably expected to have commercial potential at the time that such commercial potential becomes apparent.

Accounts and Auditing

Foundation Grant recipients must maintain a separate internal management account to separately identify the funding which clearly identifies the income and expenditure related to the Foundation Grant and its application to the funded project, and must keep proper accounts in accordance with generally accepted accounting and records management principles and as required by law.

Upon request, the Foundation Grant recipient will show UoM its records relating to the Foundation Grant to enable UoM to ensure the funds are being appropriately applied to the funded project in line with the requirements of the funding organisations.

Reporting

Reporting and payment milestones will be agreed at the time of award of the funded project. Foundation Grant recipients must provide the CGCPT (via UoM) with quarterly progress reports throughout the life of the project to demonstrate that agreed funding and research milestones have been completed. Foundation Grant recipients agree that any subsequent or additional funding or publications that utilise or leverage data or outcomes from the funded project must be reported to the CGCPT in perpetuity.

Foundation grant recipients will be required to share data and outcomes, and to present research progress and findings at CGCPT symposia and other fora as appropriate. Attendance of all grant recipients (and co-investigators) at all CGCPT symposia and events is required. If there is a failure to participate in the CGCPT's activities, UoM reserves the right to amend the Foundation Grant award conditions for the funded project, including the funding amount.

Reduction in Scope, Suspension or Termination

UoM may suspend the performance of the funded project, reduce the scope of the funded project or the amount of available funding, or terminate the funded project if UoM itself receives an equivalent notice of suspension, reduction or termination from the funding organisations that support CGCPT and the Foundation Grant program. In such circumstances, UoM will provide as much notice as possible, relative to the notice provided to UoM under its agreements with the funding organisations. UoM may also terminate the funded project if the funded project is not meeting the milestones set out in the application, or for breach of any of the Foundation Grant award conditions.

Project Collaboration Agreements

The Foundation Grant recipient must ensure there is a written collaboration agreement in place with each collaborator, and that such agreement is consistent with and passes through these grant conditions. Drafts of such agreements must be shared with UoM prior to signing and UoM may propose changes to the extent necessary to ensure compliance with the CGCPT's funding arrangements.